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PTO/SB/05 (12/97)

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## **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new non-provisional applications under 37 CFR 1.53(b)

| Attorney Docket No   | 42390.P6202  |   | Total Pages2_    | <u> </u> |  |  |  |  |  |
|--|--|---|------------------|----------|--|--|--|--|--|
| First Named Inventor or Application Identifier Sanjay Dabral   |  |   |                  |          |  |  |  |  |  |
| Express Mail Label No. EL388636058US   |  |   |                  |          |  |  |  |  |  |
| ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231  |  |   |                  |          |  |  |  |  |  |
| APPLICATION ELEMEN   | TS   |   |                  |          |  |  |  |  |  |
| See MPEP chapter 600   | · ·  | nt application contents.  |                  |          |  |  |  |  |  |
| Eee Transmittal Form (Submit an original, and a duplicate for fee processing)  |  |   |                  |          |  |  |  |  |  |
| (preferred a - Descriptiv - Cross Ref - Statemen - Reference - Backgrour - Brief Sum - Brief Desc - Detailed D - Claims  | Specification (Total Pages)  (preferred arrangement set forth below)  - Descriptive Title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to Microfiche Appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claims  - Abstract of the Disclosure |   |                  |          |  |  |  |  |  |
| 3. X Drawing   | gs(s) (35 USC 113)   | (Total Sheets <u>7</u>  | .)               |          |  |  |  |  |  |
| 4 Oath or  | Declaration (Total   | al Pages)   |                  |          |  |  |  |  |  |
| a  | Newly Executed (Orig   | inal or Copy)   |                  |          |  |  |  |  |  |
| b  |  | plication (37 CFR 1.63(d))<br>onal with Box 17 completed) <b>(N</b> | ote Box 5 below) |          |  |  |  |  |  |
| i  |  | ITOR(S) Signed statement att e prior application, see 37 CFF        |                  |          |  |  |  |  |  |
| 5 Incorporation By Reference (useable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |   |                  |          |  |  |  |  |  |
| 6 Microfiche   | Computer Program (   | Appendix)   |                  |          |  |  |  |  |  |

Fr the Property and the line

42390.P6202

Nucleotide and/or Amino Acid Sequence Submission

|  | (if applicable a. b. c.   | Pa   | omputer Readable Caper Copy (identical |                            |                            |  |  |  |
|--|---|--|--|----------------------------|----------------------------|--|--|--|
|  | ACCOMPANYING APPLICATION PARTS  |  |  |                            |                            |  |  |  |
| 8.<br>9.   | Assignment Papers (cover sheet & documents(s)) a. 37 CFR 3.73(b) Statement (where there is an assignee) |  |  |                            |                            |  |  |  |
|  |   | b. Po  | ower of Attorney                       | ,                          |                            |  |  |  |
| 10.  |   | English Translation Document (if applicable) |  |                            |                            |  |  |  |
| 11.  | a. Information Disclosure Statement (IDS)/PTO-1449  |  |  |                            |                            |  |  |  |
|  | b. Copies of IDS Citations  |  |  |                            |                            |  |  |  |
| 12.  |   | Prelimin                                     | ary Amendment                          | ì                          |                            |  |  |  |
| 13.  | X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)                                  |  |  |                            |                            |  |  |  |
| 14.  | a. Small Entity Statement(s)  |  |  |                            |                            |  |  |  |
|  | ····  | b. Stat                                      | tement filed in pi                     | rior application, Status s | still proper and desired   |  |  |  |
| 15.  |   | Certified                                    | d Copy of Priority                     | y Document(s) (if foreig   | n priority is claimed)     |  |  |  |
| 16.  | Other:  |  |  |                            |                            |  |  |  |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Divisional Continuation-in-part (CIP)  of prior application No: |   |  |  |                            |                            |  |  |  |
| 18.  | Corres  | sponden                                      | ce Address                             |                            |                            |  |  |  |
| Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here) or  X Correspondence Address Below   |   |  |  |                            |                            |  |  |  |
| NAME Seth Z. Kalson, Reg. No. 40,670   |   |  |  |                            |                            |  |  |  |
| BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP   |   |  |  |                            |                            |  |  |  |
| ADDRESS 12400 Wilshire Boulevard   |   |  |  |                            |                            |  |  |  |
| Seventh Floor  |   |  |  |                            |                            |  |  |  |
| CITY   | Los Ang   | eles   | STATE                                  | California                 | ZIP CODE <u>90025-1026</u> |  |  |  |
| Cou  | ntryU.  | S.A.   | TELEP                                  | HONE <u>(408) 720-8598</u> | FAX <u>(408) 720-9397</u>  |  |  |  |

|             |               |                | •             |   | PTO/SB/17(6/99)   |
|-------------|---------------|----------------|---------------|---|---|
|             |               |                |               |   | Approved for use through 09/30/2000. OMB 0651-0032  |
|             | Under         | the Paperwor   | k Reduction   | Patent and<br>Act of 1995, no persons are required to respond | Trademark Office: U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number. |
| ·           |               | •              |               | FEE TRANSMITTA  |   |
|             |               |                |               |   |   |
| Comple      | ete if Kno    | wn:            |               | TOTAL AMOUNT OF PAYMEN  | VI (\$) <u>646.00</u>   |
| Applica     | ition No.     |                |               |   |   |
| Filing D    | ate <u>He</u> | rewith         | Sar           | jay Dabral  |   |
|             |               |                |               | lay Daolai  |   |
|             | er Name       |                | 2000 DC       | 200   |   |
|             | y Docket      |                | 2390.P6       |   |   |
| METH        | OD OF F       | PAYMEN         | IT (che       | ck one)   |   |
| 1. [        | 1             |                |               | oner is hereby authorized to c<br>ents to:                    | charge indicated fees and credit  |
|             |               |                |               | nt Number <u>02-2666</u>                                      |   |
|             |               | Deposi         | t Accou       | nt Name   |   |
| ]           | ]             | Charge         | Any A         | Iditional Fee Required Under                                  | 37 CFR 1.16 and 1.17  |
| 2.          | _ <b>X</b>    | Payme          | nt Enclo      | sed:  |   |
| _           | <u> </u>      | Check          |               |   |   |
| -           | <del></del>   | Money<br>Other | Order         |   |   |
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| FEE C       | ALCUL         | AHON           |               |   |   |
| 1. <u>I</u> | BASIC F       | ILING F        | EE            |   | •   |
| Large I     | Entity        | Small I        | Entity        |   |   |
| Fee         | Fee           | Fee            | Fee           |   |   |
| Code        | (\$)          | Code           | (\$)          | Fee Description   | Fee Paid  |
| 101         | 690           | 201            | 345           | Utility application filing fee                                |   |
| 106         | 310           | 206            | 155           | Design application filing fe                                  | 3e <u> </u>   |
| 107         | 480           | 207            | 240           | Plant filing fee  | <del></del>   |
| 108         | 690           | 208            | 345           | Reissue filing fee  | ing too   |
| 114         | 150           | 214            | 75            | Provisional application filir                                 | ing ree   |
|             |               |                |               |   | SUBTOTAL (1) \$ 690.00  |
| 2.          | EXTRA         | CLAIM          | FEES          |   | Fee from  |
|             |               |                |               | Extra Claims  | <u>below</u> <u>Fee Paid</u>  |
| Total       | Claims        | 14             |               | <b>-20</b> ** = <u>0</u>                                      | X =   |
|             | endent        |                | 5             | -3** = <u>2</u>   | $X _{78.00} = $156.00$  |
|             | ole Depe      |                |               | -   | <b>=</b>  |
|             |               |                | slv paid      | l, if greater; For Reissues,                                  | see below.  |
|             | Entity        | Small          |               | ,   |   |
| Fee         | Fee           | Fee            | Fee           |   |   |
| Code        | (\$)          | Code           | (\$)          | Fee Description   |   |
| 103         | 18            | 203            | 9             | Claims in excess of 20  |   |
| 102         | 78            | 202            | 39            | Independent claims in exce                                    | ess of 3  |
| 104         | 260           | 204            | 130           | Multiple dependent claim, if                                  |   |
| 109         | 78            | 209            | 39            | **Reissue independent clair                                   | ims over original patent  |
| 110         | 18            | 210            | 9             | **Reissue claims in excess                                    | of 20 and over original patent  |
|             |               |                | -             |   |   |
|             |               |                |               |   | SUBTOTAL (2) \$_156.00  |

| 3. ADDITIONAL FEES                    |               |                 |            |   |                    |  |
|---------------------------------------|---------------|-----------------|------------|---|--------------------|--|
|                                       | Entity        | Small E         |            |   |                    |  |
| Fee                                   | Fee           | Fee             | Fee        | Fee Description                               | Fee Paid           |  |
| Code                                  | (\$)<br>130   | Code<br>205     | (\$)<br>65 | Surcharge - late filing fee or oath           |                    |  |
| 105                                   | 130           | 205<br>227      | 25         | Surcharge - late provisional filing fee       |                    |  |
| 127                                   | 50            | 221             | 25         | or cover sheet                                | <u>.</u>           |  |
| 120                                   | 130           | 139             | 130        | Non-English specification                     |                    |  |
| 139                                   | 2,520         | 147             | 2,520      | For filing a request for reexamination        |                    |  |
| 147<br>112                            | 2,520<br>920* | 112             | 920*       | Requesting publication of SIR prior to        |                    |  |
| 112                                   | 920           | 112             | 320        | Examiner action                               |                    |  |
| 113                                   | 1,840*        | 113             | 1,840*     | Requesting publication of SIR after           |                    |  |
| 113                                   | 1,040         | 113             | 1,040      | Examiner action                               |                    |  |
| 115                                   | 110           | 215             | 55         | Extension for response within first month     |                    |  |
| 116                                   | 380           | 216             | 190        | Extension for response within second month    |                    |  |
| 117                                   | 360<br>870    | 217             | 435        | Extension for response within third month     |                    |  |
| 118                                   | 1,360         | 217             | 680        | Extension for response within fourth month    |                    |  |
| 128                                   | 1,850         | 228             | 925        | Extension for response within fifth month     |                    |  |
| 119                                   | 300           | 219             | 150        | Notice of Appeal                              |                    |  |
| 120                                   | 300           | 220             | 150        | Filing a brief in support of an appeal        |                    |  |
| 121                                   | 260           | 221             | 130        | Request for oral hearing                      |                    |  |
| 138                                   | 1,510         | 138             | 1,510      | Petition to institute a public use proceeding | <del></del>        |  |
| 140                                   | 1,310         | 240             | 55         | Petition to revive unavoidably abandoned      |                    |  |
| 170                                   | 1.0           | 2-10            | •          | application                                   |                    |  |
| 141                                   | 1,210         | 241             | 605        | Petition to revive unintentionally            |                    |  |
| 171                                   | 1,210         |                 |            | abandoned application                         |                    |  |
| 142                                   | 1,210         | 242             | 605        | Utility issue fee (or reissue)                |                    |  |
| 143                                   | 430           | 243             | 215        | Design issue fee                              |                    |  |
| 144                                   | 580           | 244             | 290        | Plant issue fee                               |                    |  |
| 122                                   | 130           | 122             | 130        | Petitions to the Commissioner                 |                    |  |
| 123                                   | 50            | 123             | 50         | Petitions related to provisional applications |                    |  |
| 126                                   | 240           | 126             | 240        | Submission of Information Disclosure Stmt     |                    |  |
| 581                                   | 40            | 581             | 40         | Recording each patent assignment per          |                    |  |
|                                       |               |                 |            | property (times number of properties)         |                    |  |
| 146                                   | 760           | 246             | 380        | For filing a submission after final rejection |                    |  |
|                                       |               |                 |            | (see 37 CFR 1.129(a))                         |                    |  |
| 149                                   | 760           | 249             | 380        | For each additional invention to be examined  |                    |  |
|                                       |               |                 |            | (see 37 CFR 1.129(a))                         |                    |  |
| ١                                     |               | <b>:</b> 4.\    |            |   |                    |  |
| Othe                                  | r fee (spec   | ıт <b>у</b> ) _ |            |   | <del></del>        |  |
| Othe                                  | r fee (spec   | ifv)            |            |   |                    |  |
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| 1                                     |               |                 |            | OUDTOTAL (0)                                  | ¢ 0                |  |
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|                                       |               |                 |            |   |                    |  |
| *Red                                  | uced by Bas   | ic Filing Fe    | e Paid     |   |                    |  |
|                                       | -             | -               |            |   |                    |  |
| SUBMITTED BY:                         |               |                 |            |   |                    |  |
| Typed or Printed Name: Seth Z. Kalson |               |                 |            |   |                    |  |
| Signature Jeth 2 Kalam Date 12-29-99  |               |                 |            |   |                    |  |
| Dan                                   | Number        | 40 E7           | 'n         | Deposit Account User ID                       |                    |  |
| Heg                                   | . Number      | 40,67           | <u> </u>   | (comple                                       | ete if applicable) |  |
| L                                     |               | <u> </u>        |            | \compte                                       | <u>/</u>           |  |

42390.P6202

| PATENT | <b>APPLICATION</b> | SERIAL | NO. |  |
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## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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